

On k -circulant matrices with the generalized third-order Pell numbers

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Abstract: In this paper, we obtain explicit forms of the sum of entries, the maximum column sum matrix norm, the maximum row sum matrix norm, Euclidean norm, eigenvalues and determinant of k -circulant matrix with the generalized third-order Pell numbers. We also study the spectral norm of this k -circulant matrix. Furthermore, some numerical results for demonstrating the validity of the hypotheses of our results are given.

Keywords: Third-order Pell numbers, Circulant matrix, k -circulant matrix, Tribonacci numbers, Norm, Spectral norm, Determinant.

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1 Introduction

In this section, we recall definitions and some properties of the generalized Tribonacci sequence and generalized third order Pell sequence. The generalized Tribonacci sequence

$$\{W_n(W_0, W_1, W_2; r, s, t)\}_{n \geq 0}$$

(or shortly $\{W_n\}_{n \geq 0}$) is defined as follows:

$$W_n = rW_{n-1} + sW_{n-2} + tW_{n-3}, \quad W_0 = a, W_1 = b, W_2 = c, \quad n \geq 3 \quad (1)$$

where a, b, c are arbitrary complex (or real) numbers (not all being zero) and r, s, t are real numbers (not all being zero). For more information on this sequence, see for example [21].

The sequence $\{W_n\}_{n \geq 0}$ can be extended to negative subscripts by defining

$$W_{-n} = -\frac{s}{t}W_{-(n-1)} - \frac{r}{t}W_{-(n-2)} + \frac{1}{t}W_{-(n-3)}$$

for $n = 1, 2, 3, \dots$ when $t \neq 0$. Therefore, recurrence (1) holds for all integer n .

Now we consider the case $r = 2, s = t = 1$ and in this case we write $V_n = W_n$. A generalized third order Pell sequence $\{V_n\}_{n \geq 0} = \{V_n(V_0, V_1, V_2)\}_{n \geq 0}$ is defined by the third-order recurrence relations

$$V_n = 2V_{n-1} + V_{n-2} + V_{n-3} \quad (2)$$

with the initial values $V_0 = c_0, V_1 = c_1, V_2 = c_2$ where c_0, c_1 and c_2 are arbitrary real numbers (not all being zero).

The sequence $\{V_n\}_{n \geq 0}$ can be extended to negative subscripts by defining

$$V_{-n} = -V_{-(n-1)} - 2V_{-(n-2)} + V_{-(n-3)}$$

for $n = 1, 2, 3, \dots$. Therefore, recurrence (2) holds for all integer n .

Next, we define two special case of the sequence $\{V_n\}$. Third-order Pell sequence $\{P_n^{(3)}\}_{n \geq 0}$, and third-order Pell–Lucas sequence $\{Q_n^{(3)}\}_{n \geq 0}$ are defined, respectively, by the third-order recurrence relations

$$P_{n+3}^{(3)} = 2P_{n+2}^{(3)} + P_{n+1}^{(3)} + P_n^{(3)}, \quad P_0^{(3)} = 0, P_1^{(3)} = 1, P_2^{(3)} = 2, \quad (3)$$

$$Q_{n+3}^{(3)} = 2Q_{n+2}^{(3)} + Q_{n+1}^{(3)} + Q_n^{(3)}, \quad Q_0^{(3)} = 3, Q_1^{(3)} = 2, Q_2^{(3)} = 6. \quad (4)$$

The sequences $\{P_n^{(3)}\}_{n \geq 0}$ and $\{Q_n^{(3)}\}_{n \geq 0}$ can be extended to negative subscripts by defining

$$P_{-n}^{(3)} = -P_{-(n-1)}^{(3)} - 2P_{-(n-2)}^{(3)} + P_{-(n-3)}^{(3)},$$

$$Q_{-n}^{(3)} = -Q_{-(n-1)}^{(3)} - 2Q_{-(n-2)}^{(3)} + Q_{-(n-3)}^{(3)},$$

for $n = 1, 2, 3, \dots$, respectively. Therefore, recurrences (3)–(4) hold for all integer n .

Binet formula of generalized third order Pell numbers can be given as

$$V_n = \frac{b_1 \alpha^n}{(\alpha - \beta)(\alpha - \gamma)} + \frac{b_2 \beta^n}{(\beta - \alpha)(\beta - \gamma)} + \frac{b_3 \gamma^n}{(\gamma - \alpha)(\gamma - \beta)}$$

where

$$b_1 = V_2 - (\beta + \gamma)V_1 + \beta\gamma V_0, \quad b_2 = V_2 - (\alpha + \gamma)V_1 + \alpha\gamma V_0, \quad b_3 = V_2 - (\alpha + \beta)V_1 + \alpha\beta V_0. \quad (5)$$

Here, α, β and γ are the roots of the cubic equation $x^3 - 2x^2 - x - 1 = 0$. Moreover,

$$\alpha = \frac{2}{3} + \left(\frac{61}{54} + \sqrt{\frac{29}{36}} \right)^{1/3} + \left(\frac{61}{54} - \sqrt{\frac{29}{36}} \right)^{1/3},$$

$$\beta = \frac{2}{3} + \omega \left(\frac{61}{54} + \sqrt{\frac{29}{36}} \right)^{1/3} + \omega^2 \left(\frac{61}{54} - \sqrt{\frac{29}{36}} \right)^{1/3},$$

$$\gamma = \frac{2}{3} + \omega^2 \left(\frac{61}{54} + \sqrt{\frac{29}{36}} \right)^{1/3} + \omega \left(\frac{61}{54} - \sqrt{\frac{29}{36}} \right)^{1/3},$$

where

$$\omega = \frac{-1 + i\sqrt{3}}{2} = \exp(2\pi i/3).$$

Note that

$$\begin{aligned}\alpha + \beta + \gamma &= 2, \\ \alpha\beta + \alpha\gamma + \beta\gamma &= -1, \\ \alpha\beta\gamma &= 1.\end{aligned}$$

In the rest of the paper, for easy writing, we drop the superscripts and write P_n and Q_n for $P_n^{(3)}$ and $Q_n^{(3)}$, respectively. Note that P_n is the sequence A077939 in [19] associated with the expansion of $1/(1 - 2x - x^2 - x^3)$, Q_n is the sequence A276225 in [19]. For more details for the generalized third order Pell numbers, see Soykan [22].

The following Theorem presents sum formula of generalized third-order Pell numbers.

Theorem 1.1. *Let x be a nonzero real or complex number. For $n \geq 0$, we have the following formula: If $x^3 + x^2 + 2x - 1 \neq 0$, then*

$$\sum_{k=0}^n x^k V_k = \frac{\Theta_1(x)}{\Theta(x)}.$$

where

$$\begin{aligned}\Theta_1(x) &= x^{n+3}V_{n+3} - (2x - 1)x^{n+2}V_{n+2} - (x^2 + 2x - 1)x^{n+1}V_{n+1} - x^2V_2 \\ &\quad + x(2x - 1)V_1 + (x^2 + 2x - 1)V_0,\end{aligned}$$

$$\Theta(x) = x^3 + x^2 + 2x - 1.$$

Proof. Take $r = 2, s = 1, t = 1$ in [20, Theorem 2.1. (a)]. □

The following theorem presents sum formulas of generalized third-order Pell numbers.

Theorem 1.2. *For $n \geq 0$, we have the following formulas:*

(a) $\sum_{i=0}^n V_i = \frac{1}{3}(V_{n+3} - V_{n+2} - 2V_{n+1} - V_2 + V_1 + 2V_0).$

(b) $\sum_{i=0}^n iV_i = \frac{1}{9}((3n + 2)V_{n+3} - (3n + 5)V_{n+2} - (6n + 4)V_{n+1} + V_2 + 2V_1 - 2V_0).$

(c) $\sum_{i=0}^n V_i^2 = \frac{1}{9}(-V_{n+3}^2 - 10V_{n+1}^2 - 9V_{n+2}^2 + 2V_{n+3}V_{n+1} + 6V_{n+3}V_{n+2} + V_2^2 + 9V_1^2 + 10V_0^2 - 6V_2V_1 - 2V_2V_0).$

(d) $\sum_{i=0}^n iV_i^2 = \frac{1}{27}(-(3n + 10)V_{n+3}^2 - (27n + 63)V_{n+2}^2 - (30n + 40)V_{n+1}^2 + 2(9n + 24)V_{n+3}V_{n+2} + 2(3n + 7)V_{n+3}V_{n+1} - 6V_{n+2}V_{n+1} + 7V_2^2 + 36V_1^2 + 10V_0^2 - 30V_2V_1 - 8V_2V_0 + 6V_0V_1).$

Proof. (a) Take $x = 1, r = 2, s = 1, t = 1$ in [20, Theorem 2.1. (a)] or take $r = 2, s = 1, t = 1$ in [25, Theorem 2.1. (a)].

(b) Take $x = 1, r = 2, s = 1, t = 1$ in [27, Theorem 2.1. (a)] or take $r = 2, s = 1, t = 1$ in [29, Theorem 2.1. (a)].

(c) Take $x = 1, r = 2, s = 1, t = 1$ in [24, Theorem 3.1 (a)]. See also [23, Theorem 2.1].

(d) Take $x = 1, r = 2, s = 1, t = 1$ in [26, Theorem 2.1. (a)] or take $r = 2, s = 1, t = 1$ in [28, Theorem 2.1. (a)]. □

Note that, using the recurrence relation $V_{n+3} = 2V_{n+2} + V_{n+1} + V_n$, we can write the above theorem as follows.

Theorem 1.3. *For $n \geq 0$, we have the following formulas:*

- (a) $\sum_{i=0}^n V_i = \frac{1}{3}(V_{n+2} - V_{n+1} + V_n - V_2 + V_1 + 2V_0) = \frac{\Theta_1}{\Theta}.$
- (b) $\sum_{i=0}^n iV_i = \frac{1}{9}((3n-1)V_{n+2} - (3n+2)V_{n+1} + (3n+2)V_n + V_2 + 2V_1 - 2V_0) = \frac{\Psi_1}{\Psi}.$
- (c) $\sum_{i=0}^n V_i^2 = \frac{1}{9}(-V_{n+2}^2 - 9V_{n+1}^2 - V_n^2 + 6V_{n+2}V_{n+1} + 2V_{n+2}V_n + V_2^2 + 9V_1^2 + 10V_0^2 - 6V_2V_1 - 2V_2V_0)$
 $= \frac{\Delta_1}{\Delta}.$
- (d) $\sum_{i=0}^n iV_i^2 = \frac{1}{27}(-(3n+7)V_{n+2}^2 - 9(3n+4)V_{n+1}^2 - (3n+10)V_n^2 + 6(3n+5)V_{n+2}V_{n+1} + 2(3n+4)V_{n+2}V_n - 6V_{n+1}V_n + 7V_2^2 + 36V_1^2 + 10V_0^2 - 30V_2V_1 - 8V_2V_0 + 6V_0V_1)$
 $= \frac{\Omega_1}{\Omega}.$

From the last Theorem, we have the following corollary which gives sum formulas of third-order Pell numbers (take $V_n = P_n$ with $P_0 = 0, P_1 = 1, P_2 = 2$).

Corollary 1.3.1. *For $n \geq 0$, third-order Pell numbers have the following properties:*

- (a) $\sum_{i=0}^n P_i = \frac{1}{3}(P_{n+2} - P_{n+1} + P_n - 1).$
- (b) $\sum_{i=0}^n iP_i = \frac{1}{9}((3n-1)P_{n+2} - (3n+2)P_{n+1} + (3n+2)P_n + 4).$
- (c) $\sum_{i=0}^n P_i^2 = \frac{1}{9}(-P_{n+2}^2 - 9P_{n+1}^2 - P_n^2 + 6P_{n+2}P_{n+1} + 2P_{n+2}P_n + 1).$
- (d) $\sum_{i=0}^n iP_i^2 = \frac{1}{27}(-(3n+7)P_{n+2}^2 - 9(3n+4)P_{n+1}^2 - (3n+10)P_n^2 + 6(3n+5)P_{n+2}P_{n+1} + 2(3n+4)P_{n+2}P_n - 6P_{n+1}P_n + 4).$

Taking $V_n = Q_n$ with $Q_0 = 3, Q_1 = 2, Q_2 = 6$ in the last Theorem, we have the following corollary which presents sum formulas of third-order Pell–Lucas numbers.

Corollary 1.3.2. *For $n \geq 0$, third-order Pell–Lucas numbers have the following properties:*

- (a) $\sum_{i=0}^n Q_i = \frac{1}{3}(Q_{n+2} - Q_{n+1} + Q_n + 2).$
- (b) $\sum_{i=0}^n iQ_i = \frac{1}{9}((3n-1)Q_{n+2} - (3n+2)Q_{n+1} + (3n+2)Q_n + 4).$
- (c) $\sum_{i=0}^n Q_i^2 = \frac{1}{9}(-Q_{n+2}^2 - 9Q_{n+1}^2 - Q_n^2 + 6Q_{n+2}Q_{n+1} + 2Q_{n+2}Q_n + 54).$
- (d) $\sum_{i=0}^n iQ_i^2 = \frac{1}{27}(-(3n+7)Q_{n+2}^2 - 9(3n+4)Q_{n+1}^2 - (3n+10)Q_n^2 + 6(3n+5)Q_{n+2}Q_{n+1} + 2(3n+4)Q_{n+2}Q_n - 6Q_{n+1}Q_n + 18).$

2 Main results

Next, we recall some information on k -circulant matrix and Frobenius norm, spectral norm, maximum column length norm and maximum row length norm. Let $n \geq 2$ be an integer and k be any real or complex number. An $n \times n$ matrix $C_k = (c_{ij}) \in M_{n \times n}(\mathbb{C})$ is called a k -circulant matrix if it is of the form

$$C_k = \begin{pmatrix} c_0 & c_1 & c_2 & \cdots & c_{n-2} & c_{n-1} \\ kc_{n-1} & c_0 & c_1 & \cdots & c_{n-3} & c_{n-2} \\ kc_{n-2} & kc_{n-1} & c_0 & \cdots & c_{n-4} & c_{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ kc_2 & kc_3 & kc_4 & \cdots & c_0 & c_1 \\ kc_1 & kc_2 & kc_3 & \cdots & kc_{n-1} & c_0 \end{pmatrix}_{n \times n}.$$

The k -circulant matrix C_k is denoted by $C_k = \text{Circ}_k(c_0, c_1, \dots, c_{n-1})$.

If $k = 1$, then the 1-circulant matrix is called *circulant matrix* and denoted by $C = \text{Circ}(c_0, c_1, \dots, c_{n-1})$. Circulant matrix was first proposed by Davis in [4]. This matrix has many interesting properties, and it is one of the most important research subject in the field of the computational and pure mathematics (see for example references given in Table 1). For instance, Deveci, Karaduman and Campbell [5] studied on the Fibonacci circulant sequences and their applications. Then, later Kızılateş and Tuglu [10] defined a new geometric circulant matrix as follows:

$$C_{k^*} = \begin{pmatrix} c_0 & c_1 & c_2 & \cdots & c_{n-2} & c_{n-1} \\ kc_{n-1} & c_0 & c_1 & \cdots & c_{n-3} & c_{n-2} \\ k^2c_{n-2} & kc_{n-1} & c_0 & \cdots & c_{n-4} & c_{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ k^{n-1}c_1 & k^{n-2}c_2 & k^{n-3}c_3 & \cdots & kc_{n-1} & c_0 \end{pmatrix}_{n \times n}.$$

and then they obtained the bounds for the spectral norms of geometric circulant matrices with the generalized Fibonacci and Lucas numbers. When the parameter satisfies $k = 1$, we get the classical circulant matrix. See also Polatlı [14] for the spectral norms of k -circulant matrices with a type of Catalan triangle numbers.

The Frobenius (or Euclidean) norm and spectral norm of an $m \times n$ matrix $A = (a_{ij})_{m \times n} \in M_{m \times n}(\mathbb{C})$ are defined respectively as follows:

$$\|A\|_F = \left(\sum_{i=1}^m \sum_{j=1}^n |a_{ij}|^2 \right)^{1/2} \quad \text{and} \quad \|A\|_2 = \left(\max_{1 \leq i \leq n} |\lambda_i(A^*A)| \right)^{1/2}$$

where $\lambda_i(A^*A)$'s are the eigenvalues of the matrix A^*A and A^* is the conjugate of transpose of the matrix A . The following inequality holds for any matrix $A = (a_{ij})_{m \times n} \in M_{n \times n}(\mathbb{C})$ (see [35, Theorem 1 and Table 1]):

$$\frac{1}{\sqrt{n}} \|A\|_F \leq \|A\|_2 \leq \|A\|_F. \quad (6)$$

It follows that

$$\|A\|_2 \leq \|A\|_F \leq \sqrt{n} \|A\|_2.$$

In literature there are other types of norms of matrices. The maximum column sum matrix norm of an $n \times n$ matrix $A = (a_{ij})$ is $\|A\|_1 = \max_{1 \leq j \leq n} \sum_{i=1}^n |a_{ij}|$ and the maximum row sum matrix norm is $\|A\|_\infty = \max_{1 \leq i \leq n} \sum_{j=1}^n |a_{ij}|$. The maximum column length norm $c_1(A)$ and the maximum row length norm $r_1(A)$ of an $m \times n$ matrix $A = (a_{ij})$ are defined as follows:

$$c_1(A) = \max_{1 \leq j \leq n} \left(\sum_{i=1}^n |a_{ij}|^2 \right)^{1/2} \quad \text{and} \quad r_1(A) = \max_{1 \leq i \leq n} \left(\sum_{j=1}^n |a_{ij}|^2 \right)^{1/2}.$$

There is a relation between $\|\cdot\|_2$, $c_1(\cdot)$ and $r_1(\cdot)$ norms:

Lemma 2.1. [8] *For any matrices $A = (a_{ij})_{m \times n} \in M_{m \times n}(\mathbb{C})$ and $B = (b_{ij})_{m \times n} \in M_{m \times n}(\mathbb{C})$, we have*

$$\|A \circ B\|_2 \leq r_1(A)c_1(B)$$

and

$$\|A \circ B\|_2 \leq \|A\|_2 \|B\|_2$$

and

$$\|A \otimes B\|_2 = \|A\|_2 \|B\|_2$$

where $A \circ B$ is the Hadamard product which is defined by

$$A \circ B = (a_{ij}b_{ij}),$$

$A \otimes B$ is the Kronecker product which is defined by

$$A \otimes B = (a_{ij}B).$$

Calculations of the above norms $\|A\|_F$, $\|A\|_2$, $c_1(A)$ and $r_1(A)$ require the sum of the squares of the numbers a_{ij} . As in our case, the numbers a_{ij} can be chosen as elements of generalized third-order Pell sequence. For more details on norm of matrices, see for example [7].

In the following Table 1, we present a few special study on the Frobenius norm, spectral norm, maximum column length norm and maximum row length norm of circulant (k -circulant, geometric circulant, semicirculant) matrices with the generalized m -step Fibonacci sequences which require sum formulas of second powers of numbers in m -step Fibonacci sequences ($m = 2, 3, 4$).

Order	Name of sequence	Papers
Second order	Fibonacci, Lucas	[5, 6, 10, 30]
	Pell, Pell–Lucas	[1, 31]
	Jacobsthal, Jacobsthal–Lucas	[15, 32, 33, 34]
Third order	Tribonacci, Tribonacci–Lucas	[9, 16, 17]
	Padovan, Perrin	[3, 12, 18]
Fourth order	Tetranacci, Tetranacci–Lucas	[11]

Table 1. Papers on the norms

We need the following two lemmas for our calculations.

Lemma 2.2. [2, Lemma 4] Let $C_k = \text{Circ}_k(c_0, c_1, \dots, c_{n-1})$ be a $n \times n$ k -circulant matrix. Then we have

$$\lambda_j(C_k) = \sum_{p=0}^{n-1} k^{\frac{p}{n}} \omega^{-jp} c_p = \sum_{p=0}^{n-1} \left(k^{\frac{1}{n}} \omega^{-j}\right)^p c_p$$

where $\omega = \exp(2\pi i/n) = e^{\frac{2\pi i}{n}}$, $j = 0, 1, 2, \dots, n-1$. Moreover, in this case

$$c_p = \frac{1}{n} \sum_{j=0}^{n-1} \left(k^{\frac{1}{n}} \omega^{-j}\right)^{-p} \lambda_j(C_k), \quad p = 0, 1, 2, \dots, n-1.$$

Lemma 2.3. [7] Let A be a $n \times n$ matrix with eigenvalues $\lambda_1, \lambda_2, \lambda_3, \dots, \lambda_n$. Then, A is a normal matrix if and only if the eigenvalues of AA^* are $|\lambda_1|^2, |\lambda_2|^2, |\lambda_3|^2, \dots, |\lambda_n|^2$ where A^* is the conjugate of transpose of the matrix A .

Next, we define k -circulant matrix with generalized third-order Pell numbers entries. Throughout this paper, the k -circulant matrix, whose entries are the generalized third-order Pell numbers, will be denoted by $C_n(V)_k = \text{Circ}_k(V_0, V_1, \dots, V_{n-1})$:

Definition 1. A $n \times n$ k -circulant matrix with generalized third-order Pell numbers entries is defined by

$$C_n(V)_k = \text{Circ}_k(V_0, V_1, \dots, V_{n-1}) = \begin{pmatrix} V_0 & V_1 & V_2 & \cdots & V_{n-2} & V_{n-1} \\ kV_{n-1} & V_0 & V_1 & \cdots & V_{n-3} & V_{n-2} \\ kV_{n-2} & kV_{n-1} & V_0 & \cdots & V_{n-4} & V_{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ kV_1 & kV_2 & kV_3 & \cdots & kV_{n-1} & V_0 \end{pmatrix}_{n \times n}. \quad (7)$$

We call this matrix *generalized third-order Pell k -circulant matrix*. We consider two special cases of generalized third-order Pell k -circulant matrix, namely third-order Pell k -circulant matrix: $C_n(P)_k = \text{Circ}_k(P_0, P_1, \dots, P_{n-1})$ and third-order Pell–Lucas k -circulant matrix: $C_n(Q)_k = \text{Circ}_k(Q_0, Q_1, \dots, Q_{n-1})$. We denote the sum of entries of $C_n(V)_k$ as $S(C_n(V)_k)$.

Lemma 2.4. The sum of entries of $C_n(V)_k$ is

$$S(C_n(V)_k) = \frac{1}{9}((-k + 3kn + 1)V_{n+2} - (2k + 3kn - 2)V_{n+1} - 2(-k + 3kn + 1)V_n + (k - 3n - 1)V_2 + (2k + 3n - 2)V_1 - 2(k - 3n - 1)V_0).$$

Proof. From the definition of $C_n(V)_k$, using Theorem 1.3, we obtain

$$\begin{aligned} S(C_n(V)_k) &= nV_0 + ((n-1) + k)V_1 + ((n-2) + 2k)V_2 + \cdots + (1 + (n-1)k)V_{n-1} \\ &= \sum_{i=0}^{n-1} (n-i)V_i + k \sum_{i=1}^{n-1} iV_i \\ &= n \sum_{i=0}^{n-1} V_i + (k-1) \sum_{i=1}^{n-1} iV_i \\ &= n \left(-V_n + \sum_{i=0}^n V_i\right) + (k-1) \left(-nV_n + \sum_{i=0}^n iV_i\right) \\ &= \frac{1}{9}((-k + 3kn + 1)V_{n+2} - (2k + 3kn - 2)V_{n+1} - 2(-k + 3kn + 1)V_n + (k - 3n - 1)V_2 + (2k + 3n - 2)V_1 - 2(k - 3n - 1)V_0). \quad \square \end{aligned}$$

Taking $V_n = P_n$ with $P_0 = 0, P_1 = 1, P_2 = 2$ and $V_n = Q_n$ with $Q_0 = 3, Q_1 = 2, Q_2 = 6$, respectively, in the last Lemma, we obtain the following corollary.

Corollary 2.4.1. *We have the following results:*

(a) *The sum of entries of $C_n(P)_k$ is*

$$S(C_n(P)_k) = \frac{1}{9}((-k + 3kn + 1)P_{n+2} - (2k + 3kn - 2)P_{n+1} - 2(-k + 3kn + 1)P_n + (4k - 3n - 4)).$$

(b) *The sum of entries of $C_n(Q)_k$ is*

$$S(C_n(Q)_k) = \frac{1}{9}((-k + 3kn + 1)Q_{n+2} - (2k + 3kn - 2)Q_{n+1} - 2(-k + 3kn + 1)Q_n + (4k + 6n - 4)).$$

Next, we present the maximum column sum matrix norm $\|C_n(V)_k\|_1$ and the maximum row sum matrix norm $\|C_n(V)_k\|_\infty$ of the matrix $C_n(V)_k = (c_{ij})$ under certain condition on the generalized third-order Pell sequence V_n and k .

Theorem 2.5. *Suppose that $V_p \geq 0$ for all the nonnegative integers p . Then we have the following formulas: If $k \geq 1$ then*

$$\|C_n(V)_k\|_1 = \|C_n(V)_k\|_\infty = \frac{1}{3}(kV_{n+2} - kV_{n+1} - 2kV_n - kV_2 + kV_1 + (3 - k)V_0),$$

and if $k < 1$, then

$$\|C_n(V)_k\|_1 = \|C_n(V)_k\|_\infty = \frac{1}{3}(V_{n+2} - V_{n+1} - 2V_n - V_2 + V_1 + 2V_0).$$

Proof. Suppose that $k \geq 1$. Then from the definition of the matrix $C_n(V)_k = (c_{ij})$, using Theorem 1.3, we can write

$$\begin{aligned} \|C_n(V)_k\|_1 &= \max_{1 \leq j \leq n} \sum_{i=1}^n |c_{ij}| = \max_{1 \leq j \leq n} \{|c_{1j}| + |c_{2j}| + |c_{3j}| + \cdots + |c_{nj}|\} \\ &= |c_{11}| + |c_{21}| + |c_{31}| + \cdots + |c_{n1}| \\ &= V_0 + kV_{n-1} + kV_{n-2} + \cdots + kV_3 + kV_2 + kV_1 \\ &= (V_0 - kV_0 - kV_n) + k \sum_{i=0}^n V_i \\ &= \frac{1}{3}(kV_{n+2} - kV_{n+1} - 2kV_n - kV_2 + kV_1 + (3 - k)V_0). \end{aligned}$$

Similarly, we have

$$\|C_n(V)_k\|_\infty = \frac{1}{3}(kV_{n+2} - kV_{n+1} - 2kV_n - kV_2 + kV_1 + (3 - k)V_0).$$

Suppose now that $k < 1$. Then from the definition of the matrix $C_n(V)_k = (c_{ij})$, using Theorem 1.3, we can write

$$\begin{aligned}
\|C_n(V)_k\|_1 &= \max_{1 \leq j \leq n} \sum_{i=1}^n |c_{ij}| = \max_{1 \leq j \leq n} \{|c_{1j}| + |c_{2j}| + |c_{3j}| + \cdots + |c_{nj}|\} \\
&= |c_{1n}| + |c_{2n}| + |c_{3n}| + \cdots + |c_{nn}| \\
&= V_{n-1} + V_{n-2} + \cdots + V_3 + V_2 + V_1 + V_0 \\
&= -V_n + \sum_{i=0}^n V_i \\
&= \frac{1}{3}(V_{n+2} - V_{n+1} - 2V_n - V_2 + V_1 + 2V_0).
\end{aligned}$$

Similarly, we have

$$\|C_n(V)_k\|_\infty = \frac{1}{3}(V_{n+2} - V_{n+1} - 2V_n - V_2 + V_1 + 2V_0). \quad \square$$

Taking $V_n = P_n$ with $P_0 = 0, P_1 = 1, P_2 = 2$ and $V_n = Q_n$ with $Q_0 = 3, Q_1 = 2, Q_2 = 6$, respectively in the last theorem, we obtain the following corollary.

Corollary 2.5.1. *We have the following results:*

(a) *If $k \geq 1$, then*

$$\|C_n(P)_k\|_1 = \|C_n(P)_k\|_\infty = \frac{k}{3}(P_{n+2} - P_{n+1} - 2P_n - 1),$$

and if $k < 1$, then

$$\|C_n(P)_k\|_1 = \|C_n(P)_k\|_\infty = \frac{1}{3}(P_{n+2} - P_{n+1} - 2P_n - 1).$$

(b) *If $k \geq 1$, then*

$$\|C_n(Q)_k\|_1 = \|C_n(Q)_k\|_\infty = \frac{1}{3}(kQ_{n+2} - kQ_{n+1} - 2kQ_n + (9 - 7k)),$$

and if $k < 1$, then

$$\|C_n(Q)_k\|_1 = \|C_n(Q)_k\|_\infty = \frac{1}{3}(Q_{n+2} - Q_{n+1} - 2Q_n + 2).$$

Now, we determine the Euclidean (Frobenius) norm of k -circulant matrix $C_n(V)_k$.

Theorem 2.6. *The Euclidean (Frobenius) norm of k -circulant matrix $C_n(V)_k$ is:*

$$\|C_n(V)_k\|_F = \sqrt{n(\varphi_1(V)) + \varphi_2(V)}$$

where

$$\begin{aligned}
\varphi_1(V) &= \frac{1}{9}(-V_{n+2}^2 - 9V_{n+1}^2 - 10V_n^2 + 6V_{n+2}V_{n+1} + 2V_{n+2}V_n + V_2^2 + 9V_1^2 + 10V_0^2 \\
&\quad - 6V_2V_1 - 2V_2V_0), \\
\varphi_2(V) &= \frac{1}{27}(|k|^2 - 1)(-(3n + 7)V_{n+2}^2 - 9(3n + 4)V_{n+1}^2 - 10(3n + 1)V_n^2 + 6(3n + 5)V_{n+2}V_{n+1} \\
&\quad + 2(3n + 4)V_{n+2}V_n - 6V_{n+1}V_n + 7V_2^2 + 36V_1^2 + 10V_0^2 - 30V_2V_1 - 8V_2V_0 + 6V_0V_1).
\end{aligned}$$

Proof. From the definition of the Euclidean norm of a matrix, using Theorem 1.3, we obtain

$$\begin{aligned}
(\|C_n(V)_k\|_F)^2 &= \sum_{i=1, j=1}^n |c_{ij}|^2 \\
&= \sum_{i=0}^{n-1} (n-i)V_i^2 + |k|^2 \sum_{i=1}^{n-1} iV_i^2 \\
&= n \sum_{i=0}^{n-1} V_i^2 + (|k|^2 - 1) \sum_{i=1}^{n-1} iV_i^2 \\
&= n(\varphi_1(V)) + \varphi_2(V)
\end{aligned}$$

where $\varphi_1(V)$ and $\varphi_2(V)$ are as in the statement of the theorem. Now, it follows that

$$\|C_n(V)_k\|_F = \sqrt{n(\varphi_1(V)) + \varphi_2(V)}. \quad \square$$

Note that

$$\varphi_1(V) = \sum_{i=0}^{n-1} V_i^2 \quad \text{and} \quad \varphi_2(V) = (|k|^2 - 1) \sum_{i=1}^{n-1} iV_i^2.$$

Taking $V_n = P_n$ with $P_0 = 0, P_1 = 1, P_2 = 2$ and $V_n = Q_n$ with $Q_0 = 3, Q_1 = 2, Q_2 = 6$, respectively in the last Theorem, we obtain the following corollary.

Corollary 2.6.1. *We have the following results:*

(a) *The Euclidean (Frobenius) norm of k -circulant matrix $C_n(P)_k$ is:*

$$\|C_n(P)_k\|_F = \sqrt{n(\varphi_1(P)) + \varphi_2(P)}$$

where

$$\begin{aligned}
\varphi_1(P) &= \frac{1}{9}(-P_{n+2}^2 - 9P_{n+1}^2 - 10P_n^2 + 6P_{n+2}P_{n+1} + 2P_{n+2}P_n + 1), \\
\varphi_2(P) &= \frac{1}{27}(|k|^2 - 1)(-(3n+7)P_{n+2}^2 - 9(3n+4)P_{n+1}^2 - 10(3n+1)P_n^2 \\
&\quad + 6(3n+5)P_{n+2}P_{n+1} + 2(3n+4)P_{n+2}P_n - 6P_{n+1}P_n + 4).
\end{aligned}$$

(b) *The Euclidean (Frobenius) norm of k -circulant matrix $C_n(Q)_k$ is:*

$$\|C_n(Q)_k\|_F = \sqrt{n(\varphi_1(Q)) + \varphi_2(Q)}$$

where

$$\begin{aligned}
\varphi_1(Q) &= \frac{1}{9}(-Q_{n+2}^2 - 9Q_{n+1}^2 - 10Q_n^2 + 6Q_{n+2}Q_{n+1} + 2Q_{n+2}Q_n + 54), \\
\varphi_2(Q) &= \frac{1}{27}(|k|^2 - 1)(-(3n+7)Q_{n+2}^2 - 9(3n+4)Q_{n+1}^2 - 10(3n+1)Q_n^2 \\
&\quad + 6(3n+5)Q_{n+2}Q_{n+1} + 2(3n+4)Q_{n+2}Q_n - 6Q_{n+1}Q_n + 18).
\end{aligned}$$

The following theorem gives us the eigenvalues of the matrix in (7).

Theorem 2.7. *The eigenvalues of $C_n(V)_k$ are*

$$\lambda_j(C_n(V)) = \frac{\Phi_j(V)}{(k^{\frac{1}{n}}\omega^{-j})^3 + (k^{\frac{1}{n}}\omega^{-j})^2 + 2(k^{\frac{1}{n}}\omega^{-j}) - 1}$$

where

$$\begin{aligned}\Phi_j(V) &= kV_n - V_0 - k^{\frac{1}{n}}(-kV_{n+1} + 2kV_n + V_1 - 2V_0)\omega^{-j} \\ &\quad + k^{\frac{2}{n}}(kV_{n+2} - 2kV_{n+1} - kV_n - V_2 + 2V_1 + V_0)\omega^{-2j}\end{aligned}$$

and

$$\begin{aligned}\omega &= \exp(2\pi i/n) = e^{\frac{2\pi i}{n}}, \\ j &= 0, 1, 2, 3, \dots, n-1.\end{aligned}$$

Proof. By using Lemma 2.2, we obtain

$$\begin{aligned}\lambda_j(C_n(V)_k) &= \sum_{p=0}^{n-1} k^{\frac{p}{n}}\omega^{-jp}V_p \\ &= -k\omega^{-jn}V_n + \sum_{p=0}^n k^{\frac{p}{n}}\omega^{-jp}V_p \\ &= -k\omega^{-jn}V_n + \sum_{p=0}^n (k^{\frac{1}{n}}\omega^{-j})^p V_p.\end{aligned}$$

Now using Theorem 1.1 (by putting $x = k^{\frac{1}{n}}\omega^{-j}$) and recurrence relation

$$V_{n+3} = 2V_{n+2} + V_{n+1} + V_n,$$

we obtain required result. □

Taking $V_n = P_n$ with $P_0 = 0, P_1 = 1, P_2 = 2$ and $V_n = Q_n$ with $Q_0 = 3, Q_1 = 2, Q_2 = 6$, respectively, in the last Theorem, we obtain the following corollary.

Corollary 2.7.1. *We have the following results:*

(a) *The eigenvalues of $C_n(P)_k$ are*

$$\lambda_j(C_n(P)) = \frac{\Phi_j(P)}{(k^{\frac{1}{n}}\omega^{-j})^3 + (k^{\frac{1}{n}}\omega^{-j})^2 + 2(k^{\frac{1}{n}}\omega^{-j}) - 1},$$

(b) *the eigenvalues of $C_n(Q)_k$ are*

$$\lambda_j(C_n(Q)) = \frac{\Phi_j(Q)}{(k^{\frac{1}{n}}\omega^{-j})^3 + (k^{\frac{1}{n}}\omega^{-j})^2 + 2(k^{\frac{1}{n}}\omega^{-j}) - 1},$$

where

$$\begin{aligned}\Phi_j(P) &= kP_n - k^{\frac{1}{n}}(-kP_{n+1} + 2kP_n + 1)\omega^{-j} + k^{\frac{2}{n}}(kP_{n+2} - 2kP_{n+1} - kP_n)\omega^{-2j}, \\ \Phi_j(Q) &= kQ_n - 3 - k^{\frac{1}{n}}(-kQ_{n+1} + 2kQ_n - 4)\omega^{-j} + k^{\frac{2}{n}}(kQ_{n+2} - 2kQ_{n+1} - kQ_n + 1)\omega^{-2j}, \\ \omega &= \exp(2\pi i/n) = e^{\frac{2\pi i}{n}}, \quad j = 0, 1, 2, 3, \dots, n-1.\end{aligned}$$

The following theorem presents the upper and lower bounds of the spectral norm of $C_n(V)_k$.

Theorem 2.8. Let $C_n(V)_k = \text{Circ}_k(V_0, V_1, \dots, V_{n-1})$ be a k -circulant matrix. Then if $|k| \geq 1$ then

$$\sqrt{\varphi_1(V)} \leq \|C_n(V)_k\|_2 \leq \sqrt{V_0^2 + |k|^2(-V_0^2 + \varphi_1(V))} \sqrt{1 - V_0^2 + \varphi_1(V)},$$

and if $|k| < 1$ then

$$|k| \sqrt{\varphi_1(V)} \leq \|C_n(V)_k\|_2 \leq \sqrt{n(\varphi_1(V))}$$

where $\varphi_1(V)$ is as in Theorem 2.6.

Proof. Note that we can write $\varphi_1(V)$ as in the following forms.

$$\begin{aligned} \varphi_1(V) &= \sum_{i=0}^{n-1} V_i^2 \\ &= \frac{1}{9}(-V_{n+2}^2 - 9V_{n+1}^2 - 10V_n^2 + 6V_{n+2}V_{n+1} + 2V_{n+2}V_n + V_2^2 + 9V_1^2 + 10V_0^2 \\ &\quad - 6V_2V_1 - 2V_2V_0), \\ \varphi_1(V) &= V_0^2 + \sum_{i=1}^{n-1} V_i^2 \Rightarrow -V_0^2 + \varphi_1(V) = \sum_{i=1}^{n-1} V_i^2. \end{aligned}$$

From Theorem 2.6, we know that the Euclidean (Frobenius) norm of k -circulant matrix $C_n(V)_k$ is

$$\begin{aligned} (\|C_n(V)_k\|_F)^2 &= \sum_{i=0}^{n-1} (n-i)V_i^2 + |k|^2 \sum_{i=1}^{n-1} iV_i^2 \\ &= n \sum_{i=0}^{n-1} V_i^2 + (|k|^2 - 1) \sum_{i=1}^{n-1} iV_i^2. \end{aligned}$$

If $|k| \geq 1$, then we get, using Theorem 1.3,

$$(\|C_n(V)_k\|_F)^2 \geq \sum_{i=0}^{n-1} (n-i)V_i^2 + \sum_{i=1}^{n-1} iV_i^2 = n \sum_{i=0}^{n-1} V_i^2 = n(\varphi_1(V))$$

i.e., $\|C_n(V)_k\|_F \geq \sqrt{n(\varphi_1(V))}$. It follows that

$$\frac{\|C_n(V)_k\|_F}{\sqrt{n}} \geq \sqrt{\varphi_1(V)}.$$

Then by (6), we obtain

$$\|C_n(V)_k\|_2 \geq \sqrt{\varphi_1(V)}.$$

Similarly, If $|k| < 1$, then we obtain

$$\begin{aligned} \|C_n(V)_k\|_F^2 &= \sum_{i=0}^{n-1} (n-i)V_i^2 + |k|^2 \sum_{i=1}^{n-1} iV_i^2 \\ &\geq \sum_{i=0}^{n-1} (n-i)|k|^2 V_i^2 + |k|^2 \sum_{i=1}^{n-1} iV_i^2 = n|k|^2 \sum_{i=0}^{n-1} V_i^2 \\ &= n|k|^2 (\varphi_1(V)). \end{aligned}$$

i.e., $\|C_n(V)_k\|_F \geq \sqrt{n|k|^2 (\varphi_1(V))}$. It follows that

$$\frac{\|C_n(V)_k\|_F}{\sqrt{n}} \geq |k| \sqrt{\varphi_1(V)}.$$

Then by considering (6), we get

$$\|C_n(V)_k\|_2 \geq |k| \sqrt{(\varphi_1(V))}.$$

Now, for $|k| \geq 1$, we give the upper bound for the spectral norm of the matrix $C_n(V)_k$ as follows.

Let the matrices B and C be as

$$B = \begin{pmatrix} V_0 & 1 & 1 & \cdots & 1 & 1 \\ kV_{n-1} & V_0 & 1 & \cdots & 1 & 1 \\ kV_{n-2} & kV_{n-1} & V_0 & \cdots & 1 & 1 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ kV_1 & kV_2 & kV_3 & \cdots & kV_{n-1} & V_0 \end{pmatrix}_{n \times n}$$

and

$$C = \begin{pmatrix} 1 & V_1 & V_2 & \cdots & V_{n-2} & V_{n-1} \\ 1 & 1 & V_1 & \cdots & V_{n-3} & V_{n-2} \\ 1 & 1 & 1 & \cdots & V_{n-4} & V_{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 1 & 1 & 1 & \cdots & 1 & 1 \end{pmatrix}_{n \times n}$$

such that $C_n(V)_k = B \circ C$. Then we obtain

$$r_1(B) = \max_{1 \leq i \leq n} \left(\sum_{j=1}^n |b_{ij}|^2 \right)^{1/2} = \sqrt{V_0^2 + |k|^2 \sum_{j=1}^{n-1} V_j^2} = \sqrt{V_0^2 + |k|^2 (-V_0^2 + \varphi_1(V))},$$

$$c_1(C) = \max_{1 \leq j \leq n} \left(\sum_{i=1}^n |c_{ij}|^2 \right)^{1/2} = \sqrt{1 + \sum_{i=1}^{n-1} V_i^2} = \sqrt{1 - V_0^2 + \varphi_1(V)}.$$

By Lemma 2.1, we have

$$\|C_n(V)_k\|_2 \leq r_1(B)c_1(C) = \sqrt{V_0^2 + |k|^2 (-V_0^2 + \varphi_1(V))} \sqrt{1 - V_0^2 + \varphi_1(V)}.$$

For $|k| < 1$, we give the upper bound for the spectral norm of the matrix $C_n(V)_k$ as follows. We define the matrices D and E as

$$D = \begin{pmatrix} 1 & 1 & 1 & \cdots & 1 & 1 \\ k & 1 & 1 & \cdots & 1 & 1 \\ k & k & 1 & \cdots & 1 & 1 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ k & k & k & \cdots & k & 1 \end{pmatrix}_{n \times n}$$

and

$$E = \begin{pmatrix} V_0 & V_1 & V_2 & \cdots & V_{n-2} & V_{n-1} \\ V_{n-1} & V_0 & V_1 & \cdots & V_{n-3} & V_{n-2} \\ V_{n-2} & V_{n-1} & V_0 & \cdots & V_{n-4} & V_{n-3} \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ V_1 & V_2 & V_3 & \cdots & V_{n-1} & V_0 \end{pmatrix}_{n \times n}$$

such that $C_n(V)_k = D \circ E$.

Then we obtain

$$r_1(D) = \max_{1 \leq i \leq n} \left(\sum_{j=1}^n |d_{ij}|^2 \right)^{1/2} = \sqrt{n},$$

and

$$c_1(E) = \max_{1 \leq j \leq n} \left(\sum_{i=1}^n |e_{ij}|^2 \right)^{1/2} = \sqrt{\sum_{i=0}^{n-1} V_i^2} = \sqrt{\varphi_1(V)}.$$

By Lemma 2.1, we have

$$\|C_n(V)_k\|_2 \leq r_1(D)c_1(E) = \sqrt{n(\varphi_1(V))}.$$

This completes the proof. \square

We consider two special cases of the above theorem: the upper and lower bounds of the spectral norm of third-order Pell k -circulant matrix: $C_n(P)_k = \text{Circ}_k(P_0, P_1, \dots, P_{n-1})$ and the upper and lower bounds of the spectral norm of third-order Pell–Lucas k -circulant matrix: $C_n(Q)_k = \text{Circ}_k(Q_0, Q_1, \dots, Q_{n-1})$.

Firstly, the following corollary gives the upper and lower bounds of the spectral norm of $C_n(P)_k$.

Corollary 2.8.1. *Let $C_n(P)_k = \text{Circ}_k(P_0, P_1, \dots, P_{n-1})$ be third-order Pell k -circulant matrix. Then if $|k| \geq 1$, then*

$$\sqrt{\varphi_1(P)} \leq \|C_n(P)_k\|_2 \leq \sqrt{P_0^2 + |k|^2(-P_0^2 + \varphi_1(P))} \sqrt{1 - P_0^2 + \varphi_1(P)},$$

and if $|k| < 1$, then

$$|k| \sqrt{\varphi_1(P)} \leq \|C_n(P)_k\|_2 \leq \sqrt{n(\varphi_1(P))},$$

where $\varphi_1(P)$ is as in Corollary 2.6.1.

Proof. Take $V_n = P_n$, $P_0 = 0$, $P_1 = 1$, $P_2 = 2$ in Theorem 2.8. \square

Secondly, the following corollary gives the upper and lower bounds of the spectral norm of $C_n(Q)_k$.

Corollary 2.8.2. *Let $C_n(Q)_k = \text{Circ}_k(Q_0, Q_1, \dots, Q_{n-1})$ be a third-order Pell–Lucas k -circulant matrix. Then if $|k| \geq 1$, then*

$$\sqrt{\varphi_1(Q)} \leq \|C_n(Q)_k\|_2 \leq \sqrt{Q_0^2 + |k|^2(-Q_0^2 + \varphi_1(Q))} \sqrt{1 - Q_0^2 + \varphi_1(Q)},$$

and if $|k| < 1$, then

$$|k| \sqrt{\varphi_1(Q)} \leq \|C_n(Q)_k\|_2 \leq \sqrt{n(\varphi_1(Q))},$$

where $\varphi_1(Q)$ is as in Corollary 2.6.1.

Proof. Take $V_n = Q_n$, $Q_0 = 3$, $Q_1 = 2$, $Q_2 = 6$ in Theorem 2.8. \square

Next, we present the determinant of $C_n(V)_k$.

Theorem 2.9. *The determinant of $C_n(V)_k$ is given by*

$$\det(C_n(V)_k) = \frac{\Lambda_1^n \left(1 - \left(\frac{\Lambda_2 - \sqrt{\Lambda_2^2 - 4\Lambda_1\Lambda_3}}{2\Lambda_1} \right)^n - \left(\frac{\Lambda_2 + \sqrt{\Lambda_2^2 - 4\Lambda_1\Lambda_3}}{2\Lambda_1} \right)^n + \left(\frac{\Lambda_3}{\Lambda_1} \right)^n \right)}{(-1)^{n+1}(kQ_n + (k - Q_{-n})k^2 - 1)},$$

where

$$\begin{aligned}\Lambda_1 &= kV_n - V_0, \\ \Lambda_2 &= k^{\frac{1}{n}}(-kV_{n+1} + 2kV_n + V_1 - 2V_0), \\ \Lambda_3 &= k^{\frac{2}{n}}(kV_{n+2} - 2kV_{n+1} - kV_n - V_2 + 2V_1 + V_0).\end{aligned}$$

Proof. By considering identities

$$\prod_{j=0}^{n-1} (x - y\omega^{-j}) = x^n - y^n,$$

$$\prod_{j=0}^{n-1} (x - y\omega^{-j} + z\omega^{-2j}) = x^n \left(1 - \left(\frac{y - \sqrt{y^2 - 4xz}}{2x} \right)^n - \left(\frac{y + \sqrt{y^2 - 4xz}}{2x} \right)^n + \left(\frac{z}{x} \right)^n \right),$$

and

$$\left((k^{\frac{1}{n}}\omega^{-j})^3 + (k^{\frac{1}{n}}\omega^{-j})^2 + 2(k^{\frac{1}{n}}\omega^{-j}) - 1 \right) = (\alpha k^{\frac{1}{n}}\omega^{-j} - 1)(\beta k^{\frac{1}{n}}\omega^{-j} - 1)(\gamma k^{\frac{1}{n}}\omega^{-j} - 1),$$

we see that

$$\prod_{j=0}^{n-1} \left((k^{\frac{1}{n}}\omega^{-j})^3 + (k^{\frac{1}{n}}\omega^{-j})^2 + 2(k^{\frac{1}{n}}\omega^{-j}) - 1 \right) = (-1)^{n+1}(kQ_n + (k - Q_{-n})k^2 - 1),$$

and

$$\prod_{j=0}^{n-1} \Phi_j = \Lambda_1^n \left(1 - \left(\frac{\Lambda_2 - \sqrt{\Lambda_2^2 - 4\Lambda_1\Lambda_3}}{2\Lambda_1} \right)^n - \left(\frac{\Lambda_2 + \sqrt{\Lambda_2^2 - 4\Lambda_1\Lambda_3}}{2\Lambda_1} \right)^n + \left(\frac{\Lambda_3}{\Lambda_1} \right)^n \right),$$

where

$$\begin{aligned}\omega &= \exp(2\pi i/n), \\ \Phi_j &= kV_n - V_0 - k^{\frac{1}{n}}(-kV_{n+1} + 2kV_n + V_1 - 2V_0)\omega^{-j} \\ &\quad + k^{\frac{2}{n}}(kV_{n+2} - 2kV_{n+1} - kV_n - V_2 + 2V_1 + V_0)\omega^{-2j},\end{aligned}$$

and

$$\begin{aligned}\Lambda_1 &= kV_n - V_0, \\ \Lambda_2 &= k^{\frac{1}{n}}(-kV_{n+1} + 2kV_n + V_1 - 2V_0), \\ \Lambda_3 &= k^{\frac{2}{n}}(kV_{n+2} - 2kV_{n+1} - kV_n - V_2 + 2V_1 + V_0).\end{aligned}$$

From Theorem 2.7, we have

$$\begin{aligned}\det(C_n(V)_k) &= \prod_{j=0}^{n-1} \lambda_j(C_n(V)_k) \\ &= \prod_{j=0}^{n-1} \frac{\Phi_j}{(k^{\frac{1}{n}}\omega^{-j})^3 + (k^{\frac{1}{n}}\omega^{-j})^2 + 2(k^{\frac{1}{n}}\omega^{-j}) - 1}\end{aligned}$$

$$\begin{aligned}
&= \frac{\prod_{j=0}^{n-1} \Phi_j}{\prod_{j=0}^{n-1} \left((k^{\frac{1}{n}} \omega^{-j})^3 + (k^{\frac{1}{n}} \omega^{-j})^2 + 2(k^{\frac{1}{n}} \omega^{-j}) - 1 \right)} \\
&= \frac{\Lambda_1^n \left(1 - \left(\frac{\Lambda_2 - \sqrt{\Lambda_2^2 - 4\Lambda_1\Lambda_3}}{2\Lambda_1} \right)^n - \left(\frac{\Lambda_2 + \sqrt{\Lambda_2^2 - 4\Lambda_1\Lambda_3}}{2\Lambda_1} \right)^n + \left(\frac{\Lambda_3}{\Lambda_1} \right)^n \right)}{(-1)^{n+1} (kQ_n + (k - Q_{-n})k^2 - 1)},
\end{aligned}$$

which completes the proof. \square

We consider two special cases of the above theorem: the determinant of third-order Pell k -circulant matrix: $C_n(P)_k = \text{Circ}_k(P_0, P_1, \dots, P_{n-1})$ and the determinant of third-order Pell-Lucas k -circulant matrix: $C_n(Q)_k = \text{Circ}_k(Q_0, Q_1, \dots, Q_{n-1})$.

Firstly, the following corollary gives the determinant of $C_n(P)_k$.

Corollary 2.9.1. *The determinant of $C_n(P)_k$ is given by*

$$\det(C_n(P)_k) = \frac{\Lambda_1^n \left(1 - \left(\frac{\Lambda_2 - \sqrt{\Lambda_2^2 - 4\Lambda_1\Lambda_3}}{2\Lambda_1} \right)^n - \left(\frac{\Lambda_2 + \sqrt{\Lambda_2^2 - 4\Lambda_1\Lambda_3}}{2\Lambda_1} \right)^n + \left(\frac{\Lambda_3}{\Lambda_1} \right)^n \right)}{(-1)^{n+1} (kQ_n + (k - Q_{-n})k^2 - 1)}$$

where

$$\begin{aligned}
\Lambda_1 &= kP_n, \\
\Lambda_2 &= k^{\frac{1}{n}} (-kP_{n+1} + 2kP_n + 1), \\
\Lambda_3 &= k^{\frac{2}{n}} (kP_{n+2} - 2kP_{n+1} - kP_n).
\end{aligned}$$

Proof. Take $V_n = P_n, P_0 = 0, P_1 = 1, P_2 = 2$ in Theorem 2.9. \square

Secondly, the following corollary gives the determinant of $C_n(Q)_k$.

Corollary 2.9.2. *The determinant of $C_n(Q)_k$ is given by*

$$\det(C_n(Q)_k) = \frac{\Lambda_1^n \left(1 - \left(\frac{\Lambda_2 - \sqrt{\Lambda_2^2 - 4\Lambda_1\Lambda_3}}{2\Lambda_1} \right)^n - \left(\frac{\Lambda_2 + \sqrt{\Lambda_2^2 - 4\Lambda_1\Lambda_3}}{2\Lambda_1} \right)^n + \left(\frac{\Lambda_3}{\Lambda_1} \right)^n \right)}{(-1)^{n+1} (kQ_n + (k - Q_{-n})k^2 - 1)},$$

where

$$\begin{aligned}
\Lambda_1 &= kQ_n - 3, \\
\Lambda_2 &= k^{\frac{1}{n}} (-kQ_{n+1} + 2kQ_n - 4), \\
\Lambda_3 &= k^{\frac{2}{n}} (kQ_{n+2} - 2kQ_{n+1} - kQ_n + 1).
\end{aligned}$$

Proof. Take $V_n = Q_n, Q_0 = 3, Q_1 = 2, Q_2 = 6$ in Theorem 2.9. \square

3 Numerical examples

In this section, we give upper and lower bounds for the spectral norms of k -circulant matrix $C_n(P)_k = \text{Circ}_k(P_0, P_1, \dots, P_{n-1})$ using Theorem 2.8.

n	k	Lower bound	$\ C_n(P)_k\ _2$	Upper bound
5	1	14.10673598	21.0000000	199.49937343
5	1.08	14.10673598	22.191242817	152.35274858
5	1.7	14.10673598	32.721630822	339.14893503
5	2	14.10673598	38.109512785	398.99874687
5	4	14.10673598	74.758299427	797.99749373
5	5	14.10673598	93.197313613	997.49686716
8	1	232.68003782	352.000000	54140.499998
8	1.08	232.68003782	375.06017982	58471.739998
8	1.7	232.68003782	571.06349258	92038.850048
8	2	232.68003782	668.84271369	108280.99999
8	4	232.68003782	1326.3440329	216561.99999
8	5	232.68003782	1655.9173514	270702.49999

Table 2. Some upper and lower bounds for the spectral norms of $C_n(P)_k$ for $n = 5, 8$ and $|k| \geq 1$

n	k	Lower bound	$\ C_n(P)_k\ _2$	Upper bound
5	0.00	0.0	15.313439017	31.543620591
5	0.21	2.9624145557	15.475712850	31.543620591
5	0.34	4.7962902331	15.716833208	31.543620591
5	0.53	7.4765700692	16.384997574	31.543620591
5	0.70	9.8747151858	17.497792306	31.543620591
5	0.99	13.965668620	20.856681349	31.543620591
8	0.00	0.0	252.99406315	658.11853036
8	0.21	48.862807942	254.92973147	658.11853036
8	0.34	79.111212859	258.3008072	658.11853036
8	0.53	123.32042004	268.08740786	658.11853036
8	0.70	162.87602647	268.08740786	658.11853036
8	0.99	230.35323744	349.21360741	658.11853036

Table 3. Some upper and lower bounds for the spectral norms of $C_n(P)_k$ for $n = 5, 8$ and $|k| < 1$.

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