

A Möbius arithmetic incidence function

Emil Daniel Schwab¹, Gabriela Schwab²

¹ Department of Mathematical Sciences

The University of Texas at El Paso

El Paso, Texas 79968, USA

e-mail: eschwab@utep.edu

² Department of Mathematics

El Paso Community College

El Paso, Texas 79902, USA

e-mail: gschwab@epcc.edu

Abstract: The aim of this note is to study a non-standard right cancellative and half-factorial Möbius monoid, and to compute its Möbius function.

Keywords: Convolution, right divisibility, Möbius monoid, half-factorial monoid, Möbius function.

AMS Classification: 11A25.

1 Introduction

The classical settings for Möbius inversion are special cases of Leroux’s Möbius categories. The locally finite posets are categories in which there is one morphism $x \rightarrow y$ whenever $x \leq y$; and the monoids with the finite decomposition property are categories with only one object. In [7] an arithmetic incidence function is a complex-valued function $\xi : \mathbb{Z}_+ \times \mathbb{Z}_+ \rightarrow \mathbb{C}$ defined for all pairs of positive integers such that $\xi(m, n) = 0$ if $m \not\leq n$. An arithmetic incidence function defined above has all the defining properties of both an arithmetic function of two variables and a poset incidence function. In this short note we consider a simple example where ”poset incidence function” is replaced by ”monoid incidence function”.

The S-convolution (”S” from the standard ordering) considered in [7] as a generalization of the Cauchy convolution is defined by (see [7, Definition 5.2]):

$$(\forall (m, n) \in \mathbb{Z}_+ \times \mathbb{Z}_+) : (\xi * \eta)(m, n) = \sum_{\substack{m \leq p \leq n \\ p+q=m+n}} \xi(m, p)\eta(m, q).$$

Now, referring to the S-convolutions, we can view the arithmetic incidence functions as complex-valued functions defined on the set

$$M_{\leq} = \{(m, n) \in \mathbb{Z}_+ \times \mathbb{Z}_+ : m \leq n\}.$$

The set M_{\leq} contains the diagonal Δ of $\mathbb{Z}_+ \times \mathbb{Z}_+$ and a such arithmetic incidence function ξ has a convolution inverse if and only if $\xi(m, m) \neq 0$ for every $(m, m) \in \Delta$. The Möbius function μ is the convolution inverse of the zeta function ζ defined by $\zeta(m, n) = 1$ for all arguments of the domain set M_{\leq} .

It is clear that if we remove the diagonal, for example instead of M_{\leq} we consider

$$M_{\not\leq} = \{(m, n) \in \mathbb{Z}_+ \times \mathbb{Z}_+ : m \not\leq n\},$$

then the tide turns and the problem of Möbius function (Möbius inversion) takes on another meaning. The set $M_{\not\leq}$ equipped with a convenient multiplication (denoted by \cdot but often omitted) leads us to a monoid convolution of arithmetic functions of two variables. For example, if $M_{\not\leq}$ is a right cancellative monoid such that no element is invertible except the identity e , and for any $(u, v) \in M_{\not\leq}$ there are at most a finite number of pairs $((m, n), (p, q)) \in M_{\not\leq} \times M_{\not\leq}$ such that $(u, v) = (m, n) \cdot (p, q)$ (in other words, if $M_{\not\leq}$ is a right cancellative Möbius monoid), then one may define the convolution $\xi * \eta$ of two arithmetic functions $\xi, \eta : M_{\not\leq} \rightarrow \mathbb{C}$ by

$$(\xi * \eta)(u, v) = \sum_{(m, n) \cdot (p, q) = (u, v)} \xi(m, n)\eta(p, q).$$

In this case an arithmetic function of two variables ξ has a convolution inverse if and only if $\xi(e) \neq 0$ (see [5, Proposition 2.2]). Thus the diagonal of a locally finite partial ordered set was substituted by the identity element of the monoid; the Möbius function $\mu : M_{\not\leq} \rightarrow \mathbb{C}$ being the convolution inverse of the zeta function $\zeta : M_{\not\leq} \rightarrow \mathbb{C}$ ($\forall (u, v) \in M_{\not\leq}$, $\zeta(u, v) = 1$).

The monoid $(M_{\not\leq}, \cdot)$ that we consider in this note is a special monoid: it is right cancellative but not left cancellative; it is atomic (with only two atoms) and all factorizations of a non-identity into atoms have the same length. It is also a Möbius monoid (a Möbius category in the sense of Leroux [1],[3] with one object; for more details see [2] or [5]), etc. As a non-standard example, it brings new challenges to the study of convolutions of such arithmetic incidence functions. The computation of the Möbius function is presented in the last Section.

2 The right cancellative Möbius monoid $M_{\not\leq}$

The set

$$M_{\not\leq} = \{(m, n) \in \mathbb{Z}_+ \times \mathbb{Z}_+ | m \not\leq n\}$$

equipped with the multiplication defined by:

$$(m, n) \cdot (p, q) = \begin{cases} (p, n + q - 2) & \text{if } m = 1 \\ (m + q - 2, n + q - 2) & \text{if } m \geq 2 \end{cases}$$

is a non-commutative monoid, the element $e = (1, 2)$ being the identity. It is straightforward to check that this monoid is right cancellative but it is not left cancellative. We say that $y \in M_{\leq}$ is a right divisor of $z \in M_{\leq}$ if there exists a (necessary unique) $x \in M_{\leq}$ such that $z = x \cdot y$. We write in symbols $y|z$ and $x = \frac{z}{y}$. Since M_{\leq} is a right cancellative monoid, the right divisibility on M_{\leq} is a partial order relation on M_{\leq} . As we will see below (Proposition 2.1), for any $z \in M_{\leq}$ the set of all right divisors of z in M_{\leq} is finite; the (non-commutative) convolution $\xi * \eta$ of two arithmetic functions of two variables ξ and η on M_{\leq} (i.e. complex valued functions with domain M_{\leq}) being given by:

$$(\xi * \eta)(z) = \sum_{y|z} \xi\left(\frac{z}{y}\right)\eta(y).$$

Proposition 2.1. *Let $z = (u, v) \in M_{\leq}$. The set $R(z)$ of all right divisors of z is the following one:*

$$R(z) = \begin{cases} \{(1, v), (1, v - 1), \dots, (1, 2)\} & \text{if } u = 1, \\ \{(u, v), (u, v - 1), \dots, (u, u + 1)\} \cup \{(1, 2)\} \cup \{(1, 3), (2, 3)\} \cup \dots \\ \dots \cup \{(1, u), (2, u), \dots, (u - 1, u)\} & \text{if } u > 1. \end{cases}$$

Proof. Let $(m, n) \cdot (p, q) = (u, v)$.

a) If $u = 1$ then $m = p = 1$ and $(1, n + q - 2) = (1, v)$, that is $n + q = v + 2$. Since $n, q > 1$ it follows:

$$\begin{aligned} n = 2, q = v &\Rightarrow (1, 2) \cdot (1, v) = (1, v) \\ n = 3, q = v - 1 &\Rightarrow (1, 3) \cdot (1, v - 1) = (1, v) \\ &\dots \\ n = v, q = 2 &\Rightarrow (1, v) \cdot (1, 2) = (1, v) \end{aligned}$$

b) If $u > 1$ and

$b_1)$ $m = 1$, then $p = u$ and $n + q = v + 2$. Since $n > 1$ and $q > u$ it follows:

$$\begin{aligned} n = 2, q = v &\Rightarrow (1, 2) \cdot (u, v) = (u, v) \\ n = 3, q = v - 1 &\Rightarrow (1, 3) \cdot (u, v - 1) = (u, v) \\ &\dots \\ n = v - u + 1, q = u + 1 &\Rightarrow (1, v - u + 1) \cdot (u, u + 1) = (u, v) \end{aligned}$$

$b_2)$ $m > 1$, then $m + q = v + 2$ and $n + q = v + 2$. It follows:

$$q = 2 \Rightarrow m = u, n = v \text{ and } p < q \text{ implies}$$

$$p = 1 \text{ and } (u, v) \cdot (1, 2) = (u, v)$$

$q = 3 \Rightarrow m = u - 1, n = v - 1$ and $p < q$ implies

$$\begin{cases} p = 1 \text{ and } (u - 1, v - 1) \cdot (1, 3) = (u, v) \\ p = 2 \text{ and } (u - 1, v - 1) \cdot (2, 3) = (u, v) \end{cases}$$

...

$q = u \Rightarrow m = 2, n = v - u + 2$ and $p < q$ implies

$$\begin{cases} p = 1 \text{ and } (2, v - u + 2) \cdot (1, u) = (u, v) \\ p = 2 \text{ and } (2, v - u + 2) \cdot (2, u) = (u, v) \\ \dots \\ p = u - 1 \text{ and } (2, v - u + 2) \cdot (u - 1, u) = (u, v). \end{cases}$$

□

Corollary 2.1. *The set $R(z)$ of right divisors of an element $z = (u, v) \in M_{\leq}$ is finite and the number $\tau_r(u, v)$ of all right divisors of (u, v) is given by*

$$\tau_r(u, v) = |R(z)| = v - u + \frac{u(u - 1)}{2}.$$

A Möbius monoid M is a decomposition-finite monoid (i.e. for any $s \in M$ there is a finite number of pairs $(t, t') \in M \times M$ such that $s = tt'$) in which the identity e is indecomposable, and $st = t$ implies $s = e$ for any $s, t \in M$. The monoid M_{\leq} is decomposition-finite since $R(z)$ is finite for any $z \in M_{\leq}$. The identity $e = (1, 2)$ is indecomposable (since $|R(e)| = 1$), and M_{\leq} is right cancellative. Thus, we have

Proposition 2.2. *The non-commutative monoid (M_{\leq}, \cdot) is a right cancellative Möbius monoid.*

Proposition 2.3. *The convolution $\xi * \eta$ of two arithmetic functions ξ and η on M_{\leq} is given by:*

$$(\xi * \eta)(u, v) =$$

$$\sum_{i=2}^{v-u+1} \xi(1, i)\eta(u, v - i + 2) + \sum_{j=2}^u [\xi(u - j + 2, v - j + 2) \sum_{k=1}^{j-1} \eta(k, j)],$$

where $\sum_{j=2}^u [\xi(u - j + 2, v - j + 2) \sum_{k=1}^{j-1} \eta(k, j)] = 0$ if $u = 1$.

3 The half-factorial monoid M_{\leq}

The study of half-factorial monoids is a main subject in non-unique factorization theory. In [6], Haukkanen and the author showed that a commutative Möbius monoid which arise from a combinatorial bisimple inverse monoid, satisfies a unique factorization theorem. For any monoid M with units M^\times an element $s \in M - M^\times$ is called atom if for all $t, t' \in M$, $s = tt'$ implies $t \in M^\times$ or $t' \in M^\times$. The monoid M is said to be atomic if every $s \in M - M^\times$ is a product

of finitely many atoms of M . A half-factorial monoid is an atomic monoid in which every two decompositions into atoms of a non-unit element s have the same length, denoted $\ell(s)$.

By virtue of Corollary 2.1, for $z \in M_{\leq}$ we have $|R(z)| = 2$ if and only if $z = (1, 3)$ or $z = (2, 3)$. Since M_{\leq}^{\times} is a singleton it follows that in M_{\leq} there are only two atoms:

$$a = (1, 3) \text{ and } b = (2, 3).$$

It is straightforward to see that

$$a^m = (1, m + 2) \text{ (expansion)} \quad \text{and} \quad b^n = (n + 1, n + 2) \text{ (translation)}.$$

Since

$$ba = b^2,$$

it follows

Lemma 3.1. *For any positive integers m and n we have:*

- (i) $(ba)^n = b^{2n}$;
- (ii) $(ab)^n = ab^{2n-1}$;
- (iii) $b^m a^n = b^{m+n}$;
- (iv) $a^m b^n a^p b^q = a^m b^{n+p+q}$.

For notational convenience, the elements a , b and e will be often written as ab^0 , a^0b and a^0b^0 , respectively. Now, since $(u, u + i) = (1, i + 1) \cdot (u, u + 1) = a^{i-1}b^{u-1}$, it follows

Lemma 3.2. *For any $(u, v) \in M_{\leq}$ we have*

$$(u, v) = a^{v-u-1}b^{u-1}.$$

Using Lemma 3.2 it is easy to see that

Lemma 3.3. *We have:*

$$a^m b^n = (n + 1, m + n + 2).$$

By Lemma 3.2, every element $(u, v) \neq (1, 2)$ can be expressed as a product of atoms. This representation is not unique (for example: $(3, 4) = ba = b^2$). It is straightforward to check that every non-identity element (u, v) has a unique decomposition of the form $(u, v) = a^m b^n$, called the *normal representation* of (u, v) . The assertions of Lemma 3.1 lead us to the following result: every two decompositions into atoms in M_{\leq} of a non-identity element (u, v) have the same length. Thus we have (the part two of the result follows from Lemma 3.2):

Proposition 3.1. *The non-commutative, right cancellative Möbius monoid M_{\leq} is half-factorial, and the length $\ell(u, v)$ of a non-identity element (u, v) is given by*

$$\ell(u, v) = v - 2.$$

4 The Möbius function

It is straightforward to check that the normal representation in M_{\leq} of the product of two elements of M_{\leq} is given by:

Lemma 4.1. *If $(u, v) = a^m b^n$ and $(u', v') = a^p b^q$ are the normal representations of the non-identity elements (u, v) and (u', v') , respectively, then*

$$(u, v) \cdot (u', v') = \begin{cases} a^{m+p} b^q & \text{if } n = 0 \\ a^m b^{n+p+q} & \text{if } n > 0 \end{cases}$$

is the normal representation of the product $(u, v) \cdot (u', v')$.

Now, Proposition 2.1, Corollary 2.1 and Proposition 2.3 (using Lemmas 3.2, 3.3 and 4.1) imply

Proposition 4.1. (1) *Let $z = a^m b^n \in M_{\leq}$. The set $R(z)$ of right divisors of z is the following one:*

$$R(z) = \begin{cases} \{a^m, a^{m-1}, \dots, a, e, \} & \text{if } n = 0, \\ \{a^m b^n, a^{m-1} b^n, \dots, a b^n, b^n\} \cup \{e\} \cup \{(a, b)\} \cup \{a^2, ab, b^2\} \cup \dots \\ \dots \cup \{a^{n-1}, a^{n-2} b, a^{n-3} b^2, \dots, a b^{n-2}, b^{n-1}\} & \text{if } n > 0. \end{cases}$$

(2) *the number $\tau_r(a^m b^n)$ of all right divisors of $z = a^m b^n \in M_{\leq}$ is given by*

$$\tau_r(a^m b^n) = |R(z)| = m + 1 + \frac{n(n+1)}{2}.$$

(3) *The convolution $\xi * \eta$ of two arithmetic functions ξ and η on M_{\leq} is given by:*

$$(\xi * \eta)(a^m b^n) = \sum_{i=0}^m \xi(a^{m-i}) \eta(a^i b^n) + \sum_{j=2}^{n+1} [\xi(a^m b^{n-j+2}) \sum_{k=1}^{j-1} \eta(a^{j-k-1} b^{k-1})],$$

where $\sum_{j=2}^{n+1} [\xi(a^m b^{n-j+2}) \sum_{k=1}^{j-1} \eta(a^{j-k-1} b^{k-1})] = 0$ if $n = 0$.

Proposition 4.2. *The Möbius function μ of the Möbius monoid M_{\leq} is given by*

$$\mu(a^m b^n) = \begin{cases} 1 & \text{if } [m = 0, n = 0] \text{ or } [m = 0, n = 2]; \\ -1 & \text{if } [m = 1, n = 0] \text{ or } [m = 0, n = 1] \\ 0 & \text{otherwise.} \end{cases}$$

Proof. The above result can be obtained directly from the defining property of Möbius' μ -function: $\zeta * \mu = \delta$, where δ is the convolution identity (i.e., $\delta(e) = 1$, and $\delta(z) = 0$ if $z \neq e$). Thus we have

$$\sum_{i=0}^m \mu(a^i b^n) + \sum_{j=2}^{n+1} [\sum_{k=1}^{j-1} \mu(a^{j-k-1} b^{k-1})] = \begin{cases} 1 & \text{if } m = n = 0 \\ 0 & \text{otherwise,} \end{cases}$$

where $\sum_{j=2}^{n+1} [\sum_{k=1}^{j-1} \mu(a^{j-k-1} b^{k-1})] = 0$ if $n = 0$. It follows:

$$(i) \quad m = n = 0 \Rightarrow \mu(a^0 b^0) = \mu(e) = 1;$$

$$(ii) \quad m > 0, n = 0 \Rightarrow \sum_{i=0}^m \mu(a^i) = 0;$$

$$(iii) \quad m > 0, n > 0 \Rightarrow 0 = \sum_{i=0}^m \mu(a^i b^n) + \sum_{j=2}^{n+1} \left[\sum_{k=1}^{j-1} \mu(a^{j-k-1} b^{k-1}) \right] = \mu(a^m b^n) + \sum_{i=0}^{m-1} \mu(a^i b^n) + \sum_{j=2}^{n+1} \left[\sum_{k=1}^{j-1} \mu(a^{j-k-1} b^{k-1}) \right] = \mu(a^m b^n).$$

$$(iv) \quad m = 0, n > 0 \Rightarrow \mu(b^n) + \sum_{j=2}^{n+1} \left[\sum_{k=1}^{j-1} \mu(a^{j-k-1} b^{k-1}) \right] = 0, \text{ and using (iii) we obtain: } \mu(b^n) + \sum_{i=0}^{n-1} [\mu(a^i) + \mu(b^i)] - \mu(1) = 0.$$

Now, it is straightforward to see that:

$$(1) \quad (i) \text{ and } (ii) \text{ imply that } \mu(a^m b^n) = \begin{cases} 1 & \text{if } m = n = 0 \\ -1 & \text{if } m = 1, n = 0 \\ 0 & \text{if } m > 1, n = 0, \end{cases}$$

$$(2) \quad (iii) \text{ says that } \mu(a^m b^n) = 0 \text{ if } m > 0, n > 0,$$

$$(3) \quad (iv) \text{ implies that } \mu(a^m b^n) = \begin{cases} -1 & \text{if } m = 0, n = 1 \\ 1 & \text{if } m = 0, n = 2 \\ 0 & \text{if } m = 0, n > 2, \end{cases}$$

and the proof is complete. □

Using Lemma 3.3,

Corollary 4.1. *We have:*

$$\forall (u, v) \in M_{\leq} : \quad \mu(u, v) = \begin{cases} 1 & \text{if } [u = 1, v = 2] \text{ or } [u = 3, v = 4]; \\ -1 & \text{if } [u = 1, v = 3] \text{ or } [u = 2, v = 3] \\ 0 & \text{otherwise.} \end{cases}$$

References

- [1] Content, M., Lemay, F., & Leroux, P. (1980) Catégories de Möbius et functorialités: Un cadre général pour l'inversion de Möbius *J. Combin. Theory Ser. A*, 28, 169–190.
- [2] Lawvere, F. W., & Menni, M. (2010) The Hopf algebra of Möbius intervals, *Theory and Appl. of Categories*, 24, 221–265.
- [3] Leroux, P. (1975) Les catégories de Möbius, *Cah. Topol. Géom. Diffé. Catég.*, 16, 280–282.
- [4] McCarthy, P. J. (1986) *Introduction to Arithmetical Functions*, Springer-Verlag, New York.

- [5] Schwab, E. D. (2015) Möbius monoids and their connection to inverse monoids, *Semigroup Forum*, 90(3), 694–720.
- [6] Schwab, E. D., & Haukkanen, P. (2008) A unique factorization in commutative Möbius monoids, *Int. J. Number Th.*, 14, 549–561.
- [7] Soppi, R. (2013) *Arithmetic incidence functions. A study of factorability*, University of Tampere, Licentiate Thesis, <https://tampub.uta.fi/handle/10024/95043>.